IN THE UNITED STATES BANKRUPTCY COURT FOR THE SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

| In re: | S | |
|---------------------------------------|---|-------------------|
| | S | |
| Watson Grinding and Manufacturing Co. | S | Case No. 20-30967 |
| | S | |
| Debtor. | S | Chapter 11 |
| | S | |

NOTICE OF SUBPOENA DUCES TECUM AND REQUEST FOR PRODUCTION OF DOCUMENTS PURSUANT TO BANKRUPTCY RULE 2004

TO: 3M Corporate Headquarters 2501 Hudson Road Maplewood, MN 55144

Pursuant to FED. R. BANKR. P. 2004 and FED. R. CIV. P. 45, made applicable by Federal Rule of Bankruptcy Procedure 9016, Watson Grinding and Manufacturing Co. (the "Debtor") files and serves the attached Request for Production and Subpoena Duces Tecum to 3M Corporate Headquarters. 3M Corporate Headquarters is instructed to produce for inspection and copying the documents set forth in the attached as Exhibit "1" by no later than March 26, 2020, at 5:00 p.m. at Jones Murray & Beatty, LLP, Attn: Erin E. Jones, 4119 Montrose Suite 230, Houston, Texas 77006

(or at such other date and time as agreed to by the parties).

Dated: March 11, 2020.

Respectfully submitted,

/s/ Erin E. Jones

Erin E. Jones (TBN 24032478)
JONES MURRAY & BEATTY LLP
4119 Montrose, Suite 230
Houston, TX 77006
Tel. 832-529-1999
Fax. 832-529-3393
erin@jmbllp.com
PROPOSED GENERAL COUNSEL FOR THE
DEBTOR

- AND-

/s/ John V. McCoy

John V. McCoy, pro hac vice Wisconsin State Bar No. 1000627 Michael I. Ramirez Texas State Bar No. 24008604 McCoy Leavitt Laskey W19 W24200 Riverwood Drive, Suite 125 Waukesha, WI 53188

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PROPOSED SPECIAL COUNSEL FOR THE

Debtor

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| United States Ba | NKRUP | TCY COURT |
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| <u>SOUTHERN</u> Distr | ict of | TEXAS |
| In re WATSON GRINDING & MANUFACTURING CO. | | |
| Debtor (Complete if issued in an adversary proceeding) | Case No | |
| Plaintiff V. Defendant | Adv. Proc. N | 0 |
| To: Record Custodian, 3M (Name of person to w) Production: YOU ARE COMMANDED to produce at the | hom the subpoe | na is directed) |
| documents, electronically stored information, or objects, and to material: See attached Schedule A. | | |
| PLACE Jones, Murray & Beatty LLP, 4119 Montrose, Suite 230, Hou | uston, TX 770 | DATE AND TIME 3/26/2020 |
| Inspection of Premises: YOU ARE COMMANDED to perother property possessed or controlled by you at the time, date, may inspect, measure, survey, photograph, test, or sample the property possessed or controlled by you at the time, date, may inspect, measure, survey, photograph, test, or sample the property possessed or controlled by you at the time, date, may inspect, measure, survey, photograph, test, or sample the property possessed or controlled by you at the time, date, may inspect, measure, survey, photograph, test, or sample the property possessed or controlled by you at the time, date, may inspect, measure, survey, photograph, test, or sample the property possessed or controlled by you at the time, date, may inspect, measure, survey, photograph, test, or sample the property possessed or controlled by you at the time, date, may inspect, measure, survey, photograph, test, or sample the property possessed or controlled by you at the time, date, may inspect, measure, and the property possessed or controlled by you at the time, date, may inspect, measure, and the property possessed or controlled by you at the time, date, and the property possessed or controlled by you at the propert | and location s | et forth below, so that the requesting party |
| PLACE | | DATE AND TIME |
| | | |
| The following provisions of Fed. R. Civ. P. 45, made a attached – Rule 45(c), relating to the place of compliance; Rule subpoena; and Rule 45(e) and 45(g), relating to your duty to redding so. | 45(d), relating | g to your protection as a person subject to a |
| Date: <u>3/11/2020</u> CLERK OF COURT | | |
| | OR | |

The name, address, email address, and telephone number of the attorney representing (*name of party*) Watson Grinding & Manufacturing Co, who issues or requests this subpoena, are:

Signature of Clerk or Deputy Clerk

Erin Jones, Jones Murray & Beatty LLP, 4119 Montrose, Suite 230, Houston, TX 77006, erin.jones@jmbllp.com, (832) 529-1999

/s/Erin Jones

Attorney's signature

Notice to the person who issues or requests this subpoena

If this subpoena commands the production of documents, electronically stored information, or tangible things, or the inspection of premises before trial, a notice and a copy of this subpoena must be served on each party before it is served on the person to whom it is directed. Fed. R. Civ. P. 45(a)(4).

PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 45.)

| I received this subpoena for (name of individual and title, if any) on (date) | : |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|
| ☐ I served the subpoena by delivering a copy to the named pers | on as follows: |
| on (<i>date</i>) | ; or |
| ☐ I returned the subpoena unexecuted because: | |
| Unless the subpoena was issued on behalf of the United States, of witness the fees for one day's attendance, and the mileage allowed. My fees are \$ for travel and \$ for services. | ed by law, in the amount of \$ |
| I declare under penalty of perjury that this information is | s true and correct. |
| Date: | |
| | Server's signature |
| | Printed name and title |
| - | Server's address |

Additional information concerning attempted service, etc.:

Federal Rule of Civil Procedure 45(c), (d), (e), and (g) (Effective 12/1/13) (made applicable in bankruptcy cases by Rule 9016, Federal Rules of Bankruptcy Procedure)

(c) Place of compliance.

- (1) For a Trial, Hearing, or Deposition. A subpoena may command a person to attend a trial, hearing, or deposition only as follows:
- (A) within 100 miles of where the person resides, is employed, or regularly transacts business in person; or
- (B) within the state where the person resides, is employed, or regularly transacts business in person, if the person
 - (i) is a party or a party's officer; or
- (ii) is commanded to attend a trial and would not incur substantial expense.
- (2) For Other Discovery. A subpoena may command:
- (A) production of documents, or electronically stored information, or things at a place within 100 miles of where the person resides, is employed, or regularly transacts business in person; and
 - (B) inspection of premises, at the premises to be inspected.

(d) Protecting a Person Subject to a Subpoena; Enforcement.

- (1) Avoiding Undue Burden or Expense; Sanctions. A party or attorney responsible for issuing and serving a subpoena must take reasonable steps to avoid imposing undue burden or expense on a person subject to the subpoena. The court for the district where compliance is required must enforce this duty and impose an appropriate sanction which may include lost earnings and reasonable attorney's fees - on a party or attorney who fails to comply.
- (2) Command to Produce Materials or Permit Inspection.
- (A) Appearance Not Required. A person commanded to produce documents, electronically stored information, or tangible things, or to permit the inspection of premises, need not appear in person at the place of production or inspection unless also commanded to appear for a deposition, hearing, or trial.
- (B) Objections. A person commanded to produce documents or tangible things or to permit inspection may serve on the party or attorney designated in the subpoena a written objection to inspecting, copying, testing or sampling any or all of the materials or to inspecting the premises — or to producing electronically stored information in the form or forms requested. The objection must be served before the earlier of the time specified for compliance or 14 days after the subpoena is served. If an objection is made, the following rules apply:
- (i) At any time, on notice to the commanded person, the serving party may move the court for the district where compliance is required for an order compelling production or inspection.
- (ii) These acts may be required only as directed in the order, and the order must protect a person who is neither a party nor a party's officer from significant expense resulting from compliance.
- (3) Quashing or Modifying a Subpoena.
- (A) When Required. On timely motion, the court for the district where compliance is required must quash or modify a subpoena that:
 - (i) fails to allow a reasonable time to comply;
- (ii) requires a person to comply beyond the geographical limits specified in Rule 45(c);
- (iii) requires disclosure of privileged or other protected matter, if no exception or waiver applies; or
 - (iv) subjects a person to undue burden.
- (B) When Permitted. To protect a person subject to or affected by a subpoena, the court for the district where compliance is required may, on motion, quash or modify the subpoena if it requires:
- (i) disclosing a trade secret or other confidential research, development, or commercial information; or

- (ii) disclosing an unretained expert's opinion or information that does not describe specific occurrences in dispute and results from the expert's study that was not requested by a party.
- (C) Specifying Conditions as an Alternative. In the circumstances described in Rule 45(d)(3)(B), the court may, instead of quashing or modifying a subpoena, order appearance or production under specified conditions if the serving party:
- (i) shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship; and
- (ii) ensures that the subpoenaed person will be reasonably compensated.

(e) Duties in Responding to a Subpoena.

- (1) Producing Documents or Electronically Stored Information. These procedures apply to producing documents or electronically stored information:
- (A) Documents. A person responding to a subpoena to produce documents must produce them as they are kept in the ordinary course of business or must organize and label them to correspond to the categories in the demand.
- (B) Form for Producing Electronically Stored Information Not Specified. If a subpoena does not specify a form for producing electronically stored information, the person responding must produce it in a form or forms in which it is ordinarily maintained or in a reasonably usable form or forms.
- (C) Electronically Stored Information Produced in Only One Form. The person responding need not produce the same electronically stored information in more than one form.
- (D) Inaccessible Electronically Stored Information. The person responding need not provide discovery of electronically stored information from sources that the person identifies as not reasonably accessible because of undue burden or cost. On motion to compel discovery or for a protective order, the person responding must show that the information is not reasonably accessible because of undue burden or cost. If that showing is made, the court may nonetheless order discovery from such sources if the requesting party shows good cause, considering the limitations of Rule 26(b)(2)(C). The court may specify conditions for the discovery.

(2) Claiming Privilege or Protection.

- (A) Information Withheld. A person withholding subpoenaed information under a claim that it is privileged or subject to protection as trial-preparation material must:
 - (i) expressly make the claim; and
- (ii) describe the nature of the withheld documents, communications, or tangible things in a manner that, without revealing information itself privileged or protected, will enable the parties to assess the claim.
- (B) Information Produced. If information produced in response to a subpoena is subject to a claim of privilege or of protection as trialpreparation material, the person making the claim may notify any party that received the information of the claim and the basis for it. After being notified, a party must promptly return, sequester, or destroy the specified information and any copies it has; must not use or disclose the information until the claim is resolved; must take reasonable steps to retrieve the information if the party disclosed it before being notified; and may promptly present the information under seal to the court for the district where compliance is required for a determination of the claim. The person who produced the information must preserve the information until the claim is resolved.

(g) Contempt. The court for the district where compliance is required – and also, after a motion is transferred, the issuing court - may hold in contempt a person who, having been served, fails without adequate excuse to obey the subpoena or an order related to it.

For access to subpoena materials, see Fed. R. Civ. P. 45(a) Committee Note (2013)

SCHEDULE A

DEFINITIONS

1. "Document" is used in the broadest possible sense and refers to any form of communication or data storage however produced or reproduced, whether or not it now exists. This definition includes, but is not limited to, advertisements, agreements, books, calculations, cancelled checks, charts, computer printouts, computer stored or generated data, contracts, data compilations from which information can be obtained, data sheets, data processing cards, diaries, drawings, forms, graphs, handwritten notes, indexes, invoices, letters, memoranda, pamphlets, periodicals, photographs, records, reports, studies, tapes, telegrams, and working papers. Any document that contains any comment, notation, addition, signature, insertion, or marking of any kind, so that it is different from the original, is a separate document.

DOCUMENTS

YOU ARE COMMANDED to produce the following documents, including electronically stored information, and permit their inspection and copying:

- 1. All contracts with Watson Grinding & Manufacturing Co. in the past seven (7) years.
- 2. All records concerning any service work performed at Watson Grinding & Manufacturing Co., 4525 Gessner Road, Houston, TX 77041, in the past seven (7) years.
- 3. All brochures, catalogs, pamphlets, or other written matter which describe or depict safety guidelines, tips, recommendations, or instructions for the gas sensors you calibrated at Watson Grinding & Manufacturing Co. in the past seven (7) years.
- 4. All documents which detail, refer to, or relate to safety standards, recommended practices, or engineering guidelines you have applied, referred to, or considered in calibrating the gas sensors at Watson Grinding & Manufacturing Co. in the past seven (7) years.
- 5. Any and all communications and documents received from or sent to Watson Grinding & Manufacturing Co. in the past seven (7) years.

- 6. Complete customer file for Watson Grinding & Manufacturing Co.
- 7. Any and all internally generated reports concerning the explosion that occurred on January 24, 2020 at Watson Grinding & Manufacturing Co.'s facility located at 4525 Gessner Road, Houston, TX 77041.
- 8. Any and all documents or communications related to the explosion that occurred on January 24, 2020 at Watson Grinding & Manufacturing Co.'s facility located at 4525 Gessner Road, Houston, TX 77041.